

08-09-04

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Dated: August 6, 2004 Signature: Linda Blake
(Linda Blake)

Docket No.: CSHL-P01-012
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Mittal et al.

Confirmation No.: 7041

Application No.: 10/763479

Art Unit: 1645

Filed: January 23, 2004

Examiner: Not Yet Assigned

For: **REGULATED POLYMERASE III
EXPRESSION SYSTEMS AND RELATED
METHODS**

August 6, 2004

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

A copy of each reference on the PTO/SB/08 is attached, except U.S. patent and U.S. patent applications.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent,

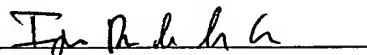
publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

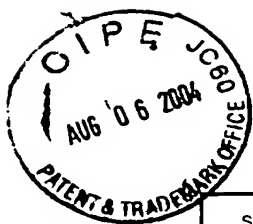
It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any fees asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CSHL-P01-012. A duplicate copy of this paper is enclosed.

Dated: August 6, 2004

Respectfully submitted,

By 
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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known		
				Application Number	10/763479	
				Filing Date	January 23, 2004	
				First Named Inventor	Vivek Mittal	
				Art Unit	1645	
				Examiner Name	Not Yet Assigned	
Attorney Docket Number	CSHL-P01-012					
Sheet	1	of	2			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-2002/0177564-A1	12-28-2002	Evans et al.	
	AB	US-6,333,318	12-25-2001	Evans et al.	
	AC	US-6,245,531	06-12-2001	Hogness et al.	
	AD	US-6,610,828	08-26-2003	Jepson et al.	
	AE	US-6,258,603	07-10-2001	Carlson et al.	
	AF	US-2001/0044151	11-22-2001	Carlson et al.	
	AG	US-2003/0088890	05-08-2003	Albertsen et al.	
	AH	US-2003/0110528	06-12-2003	Albertsen et al.	
	AI	US-2002/0187972-A1	12-12-2002	Evans et al.	
	AJ	US-2002/0119521-A1	08-29-2002	Palli et al.	
	AK	US-2002/0065243-A1	05-30-2002	Fung et al.	
	AL	US-2003/0220286-A1	11-27-2003	Abruzzese et al.	
	AM	US-6,251,640	06-26-2001	Yao	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-97/38117	10-16-1997	Evans et al.		
	BB	WO-96/37609	11-28-1996	Jepson et al.		
	BC	WO-01/70816	09-27-2001	Palli et al.		
	BD	WO-02/066612	08-29-2002	Palli et al.		
	BE	WO-02/066614	08-29-2002	Palli et al.		
	BF	WO-02/088346	11-07-2002	Massie et al.		

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	CA	WIZNEROWICZ et al., Conditional Suppression of Cellular Genes: Lentivirus Vector-Mediated Drug-Inducible RNA Interference, 2003. J Virol.;77(16):8957-61		
	CB	CZAUDERNA F et al., Inducible shRNA expression for application in a prostate cancer mouse model, 2003. Nucleic Acids Res. 31(21):e127		
	CC	VAN DE WETERING et al., Specific inhibition of gene expression using a stably integrated, inducible small-interfering-RNA vector, 2003. EMBO Rep.;4(6):609-15		
	CD	OHKAWA et al., Control of the Functional Activity of an Antisense RNA by a Tetracycline-Responsive Derivative of the Human U6 snRNA Promoter, 2000. Human Gene Therapy 11(4):577-685		
	CE	SAEZ et al., Inducible gene expression in mammalian cells and transgenic mice, 1997. Current Opinion in Biotechnology 8: 608-616		
Examiner Signature			Date Considered	

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Substitute for form 1449A/B/PTO		Complete if Known	
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		First Named Inventor	Vivek Mittal
		Art Unit	1645
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	CSHL-P01-012
Sheet	2	of	2

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CG	BODEN et al., Promoter choice affects the potency of HIV-1 specific RNA interference, 2003. Nucleic Acids Res. 31(17):5033-8
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CI	ELBASHIR et al., Analysis of gene function in somatic mammalian cells using small interfering RNAs, 2002. Methods 26, 199-213.
CJ	PADDISON et al., Short hairpin RNAs (shRNAs) induce sequence-specific silencing in mammalian cells, 2002. Genes Dev 16, 948-58.
CK	SUI et al., A DNA vector-based RNAi technology to suppress gene expression in mammalian cells, 2002. Proc Natl Acad Sci U S A 99, 5515-20
CL	BRUMMELKAMP et al., A system for Stable Expression of Short Interfering RNAs in Mammalian Cells, 2002. Science 296, 550-3
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CN	RUBINSON et al., A lentivirus-based system to functionally silence genes in primary mammalian cells, stem cells and transgenic mice by RNA interference, 2003. Nat Genet 33, 401-6
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CV	CARMELL et al., Germline transmission of RNAi in mice, 2003. Nat Struct Biol 10, 91-2.
CW	MEISSNER et al., Development of an inducible pol III transcription system essentially requiring a mutated form of the TATA-binding protein, 2001. Nucleic Acids Research 29(8), 1672-1682

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Application No. (if known): 10/763,479

Attorney Docket No.: CSHL-P01-012

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IDS (Citation) by Applicant (2 pages)